

C3

CONTRACT NO. 02-2C2224

NAME OF BIDDER _____
BUSINESS P.O. BOX Shasta Constructors, Inc.
CITY, STATE, ZIP P.O. Box 491539
Redding, CA 96049-1539
BUSINESS STREET ADDRESS 1150 Big Tree Lane Red Blm
Redding Ca 96002
(Include even if P.O. Box used)
CITY, STATE, ZIP _____
TELEPHONE NO: AREA CODE () 830 365-3930
FAX NO: AREA CODE () 365-5465
CONTRACTOR LICENSE NO. 494255

1. Bidder agrees, if this bid is accepted, to enter into a contract with the Department, in the form included in the Standard Specifications, to perform the work provided in the Contract under the terms of the Contract for the price or prices bid.

For a lump sum or unit price based bid, Bidder additionally agrees to perform the work within the number of working days shown on the *Notice to Bidders*.

For a cost plus time based bid on a contract without a plant establishment period, Bidder additionally agrees to perform the work within the number of working days bid.

For a cost plus time based bid on a contract with a plant establishment period, Bidder additionally agrees to perform the non-plant establishment work within the number of working days bid for non-plant establishment work.

2. For a lump sum based bid, Bidder submits this bid with a total price in the total bid space provided on the Bid Item List. For a unit price or cost plus time based bid, Bidder submits this bid with a unit price and the item total (the product of the unit price and the quantity) for each item and a total price (the sum of the item totals) in the spaces provided on the attached Bid Item List. For a unit price with additive item based bid, Bidder submits this bid with a unit price and an item total for each item and a total base bid (the sum of the item totals) and the additive items in the spaces provided on the attached Bid Item List. Additionally, for a cost plus time based bid, Bidder submits this bid with working days bid for non-plant establishment work, total bid for time, and total bid for bid comparison in the spaces provided on the Bid Item List. Bidder agrees:

- 2.1. If a discrepancy between the unit price and the item total exists, the unit price prevails except:

- 2.1.1. If the unit price is illegible, omitted, or the same as the item total, item total prevails and the unit price is the quotient of the item total and the quantity.

- 2.1.2. If a decimal error is apparent in the product of the unit price and the quantity, the Department will use either the unit price or item total based on the closest by percentage to the unit price or item total in the Department's Final Estimate.

- 2.2. If the unit price and the item total are illegible or are omitted, the bid may be determined nonresponsive. If a lump sum total price is illegible or is omitted, the bid may be determined nonresponsive.

- 2.3. Bids on lump sum items are item totals. If a unit price for a lump sum item is entered and it differs from the item total, the item total prevails.

- 2.4. Entries are to be expressed in dollars or decimal fractions of a dollar. Symbols such as commas and dollar signs are ignored and have no significance in establishing unit price or item total.


- 2.5. Unit prices and item totals are interpreted by the number of digits and decimal placement. Do not round item totals or the total bid.

- 2.6. For a lump sum based bid, the item total is the bid amount the Department uses for bid comparison.
For a unit price based bid, the sum of the item totals is the bid amount the Department uses for bid comparison.
For a cost plus time based bid, the sum of the item totals and the total bid for time is the bid amount the Department uses for bid comparison.
- 2.7. The Department's decision on the bid amount is final.
3. Bidder has and acknowledges the following addenda:
-
4. Bidder submits this bid with one of the following forms of bidder's security equal to at least 10 percent of the bid:
Cash \$ _____, Cashiers Check, Certified Check, Bidder's Bond
5. Bidder's signature is an affirmation of the included certifications. Bidder is cautioned that making a false certification may result in one or more of the following:
- 5.1. Criminal prosecution
 - 5.2. Rejection of the bid
 - 5.3. Rescission of the award
 - 5.4. Termination of the Contract

BY (Authorized Signature)

PRINTED NAME AND TITLE OF PERSON SIGNING

DATE SIGNED (Do not type)


Robert B. Roseman VP

11/7/11

ADA Notice

For individuals with sensory disabilities, this document is available in alternate formats. For information call (916) 654-6410 or TDD (916) 654-3880 or write Records and Forms Management, 1120 N Street, MS-89, Sacramento, CA 95814.

BID ITEM LIST

Item No.	Item Code	Item Description	Unit of Measure	Estimated Quantity	Unit Price	Item Total
1	071325	TEMPORARY FENCE (TYPE ESA)	LF	380	5. ⁰⁰	1,900-
2	074016	CONSTRUCTION SITE MANAGEMENT	LS	LUMP SUM	LUMP SUM	7,000-
3	074019	PREPARE STORM WATER POLLUTION PREVENTION PLAN	LS	LUMP SUM	LUMP SUM	2,000-
4	074028	TEMPORARY FIBER ROLL	LF	200	7. ⁵⁰	1,500-
5	074029	TEMPORARY SILT FENCE	LF	200	7. ⁵⁰	1,500-
6	074033	TEMPORARY CONSTRUCTION ENTRANCE	EA	2	4,500. ⁰⁰	9,000-
7	074034	TEMPORARY COVER	SQYD	580	3. ⁵⁰	2,030-
8	074039	TEMPORARY HYDRAULIC MULCH (POLYMER STABILIZED FIBER MATRIX)	SQYD	1,100	1. ⁷⁵	1,925-
9	074042	TEMPORARY CONCRETE WASHOUT (PORTABLE)	LS	LUMP SUM	LUMP SUM	2,000-
10	074057	STORM WATER ANNUAL REPORT	EA	2	2,000.00	4,000.00
11	021250	WATER QUALITY SAMPLING AND ANALYSIS DAY	EA	4	500. ⁰⁰	2,000-
12	021251	MONITORING REPORTS	EA	2	350. ⁰⁰	700-
13	120090	CONSTRUCTION AREA SIGNS	LS	LUMP SUM	LUMP SUM	6,500-
14	120100	TRAFFIC CONTROL SYSTEM	LS	LUMP SUM	LUMP SUM	65,000-
15	120120	TYPE III BARRICADE	EA	33	45. ⁰⁰	1,485-
16	120149	TEMPORARY PAVEMENT MARKING (PAINT)	SQFT	560	5. ⁰⁰	2,800-
17	120159	TEMPORARY TRAFFIC STRIPE (PAINT)	LF	8,020	0. ⁹⁰	7,218-
18	120165	CHANNELIZER (SURFACE MOUNTED)	EA	46	37. ⁰⁰	1,702-
19	128650	PORTABLE CHANGEABLE MESSAGE SIGN	LS	LUMP SUM	LUMP SUM	3,000-
20	129000	TEMPORARY RAILING (TYPE K)	LF	480	25. ⁰⁰	12,000-

Item No.	Item Code	Item Description	Unit of Measure	Estimated Quantity	Unit Price	Item Total
21	129100	TEMPORARY CRASH CUSHION MODULE	EA	44	174. ⁰⁰	7,656-
22	141103	REMOVE YELLOW THERMOPLASTIC TRAFFIC STRIPE (HAZARDOUS WASTE)	LF	1,300	4. ⁰⁰	5,200-
23	141104	REMOVE YELLOW THERMOPLASTIC PAVEMENT MARKING (HAZARDOUS WASTE)	SQFT	79	9. ⁰⁰	711-
24	150710	REMOVE TRAFFIC STRIPE	LF	4,500	1. ²⁰	5,400-
25	150713	REMOVE PAVEMENT MARKING	SQFT	460	4. ⁵⁰	2,070-
26	152316	RESET ROADSIDE SIGN (ONE POST)	EA	2	350. ⁰⁰	700-
27	153103	COLD PLANE ASPHALT CONCRETE PAVEMENT	SQYD	5,440	2. ⁰⁰	10,880-
28	153210	REMOVE CONCRETE	CY	9	500. ⁰⁰	4,500-
29	153225	PREPARE CONCRETE BRIDGE DECK SURFACE	SQFT	8,712	0. ⁵⁰	4,356-
30	157560	BRIDGE REMOVAL (PORTION)	LS	LUMP SUM	LUMP SUM	175,000-
31	160101	CLEARING AND GRUBBING	LS	LUMP SUM	LUMP SUM	6,500-
32	190101	ROADWAY EXCAVATION	CY	69	165. ⁰⁰	11,385-
33	190110	LEAD COMPLIANCE PLAN	LS	LUMP SUM	LUMP SUM	1,300-
34 (F)	192003	STRUCTURE EXCAVATION (BRIDGE)	CY	373	500. ⁰⁰	186,500-
35 (F)	192020	STRUCTURE EXCAVATION (TYPE D)	CY	288	250. ⁰⁰	72,000-
36 (F)	193003	STRUCTURE BACKFILL (BRIDGE)	CY	627	125. ⁰⁰	78,375-
37	203032	EROSION CONTROL (HYDROSEED) (ACRE)	ACRE	0.6	8,000. ⁰⁰	4,800-
38	260201	CLASS 2 AGGREGATE BASE	CY	45	125. ⁰⁰	5,625-
39	390132	HOT MIX ASPHALT (TYPE A)	TON	610	127. ⁰⁰	77,470-
40	397005	TACK COAT	TON	5	1. ⁰⁰	5-

Item No.	Item Code	Item Description	Unit of Measure	Estimated Quantity	Unit Price	Item Total
41	043249	36" PERMANENT STEEL CASING	LF	190	500. ⁰⁰	95,000 -
42	490605	36" CAST-IN-DRILLED-HOLE CONCRETE PILING	LF	150	1,300. ⁰⁰	195,000 -
43	043250	30" CAST-IN-DRILLED-HOLE CONCRETE PILING(ROCK SOCKET)	LF	100	1,600. ⁰⁰	160,000 -
44 (F)	510051	STRUCTURAL CONCRETE, BRIDGE FOOTING	CY	53	800. ⁰⁰	42,400 -
45 (F)	510053	STRUCTURAL CONCRETE, BRIDGE	CY	86	1,600. ⁰⁰	137,600 -
46	511106	DRILL AND BOND DOWEL	LF	376	50. ⁰⁰	18,800 -
47	512350	FURNISH PRECAST PRESTRESSED CONCRETE SLAB	SQFT	10,110	52. ⁵⁰	530,775 -
48	043251	ERECT PRECAST PRESTRESSED CONCRETE SLAB	EA	60	3,000. ⁰⁰	180,000 -
49	515041	FURNISH POLYESTER CONCRETE OVERLAY	CF	726	99. ⁰⁰	71,874 -
50 (F)	515042	PLACE POLYESTER CONCRETE OVERLAY	SQFT	8,712	4. ⁵⁰	39,204 -
51	043252	PUBLIC SAFETY PLAN	LS	LUMP SUM	LUMP SUM	4,000 -
52	519091	JOINT SEAL (MR 1 1/2")	LF	189	81. ⁰⁰	15,309 -
53 (F)	520102	BAR REINFORCING STEEL (BRIDGE)	LB	44,433	2. ⁰⁰	88,866 -
54	043253	CLEAN AND PAINT STEEL CASING	LS	LUMP SUM	LUMP SUM	30,000 -
55	597401	PAINT CURB (2-COAT)	LF	16	25. ⁰⁰	400 -
56	731502	MINOR CONCRETE (MISCELLANEOUS CONSTRUCTION)	CY	8	1,500. ⁰⁰	12,000 -
57	800320	CHAIN LINK FENCE (TYPE CL-4)	LF	35	96. ⁰⁰	3,360 -
58 (F)	833088	TUBULAR HANDRAILING	LF	253	48. ⁰⁰	12,144 -
59 (F)	833142	CONCRETE BARRIER (TYPE 26 MODIFIED)	LF	253	180. ⁰⁰	45,540 -
60	840515	THERMOPLASTIC PAVEMENT MARKING	SQFT	590	8. ⁰⁰	4,720 -

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Item No.	Item Code	Item Description	Unit of Measure	Estimated Quantity	Unit Price	Item Total
61	840560	THERMOPLASTIC TRAFFIC STRIPE (SPRAYABLE)	LF	4,300	1. ⁶⁰	6,880-
62	999990	MOBILIZATION	LS	LUMP SUM	LUMP SUM	276,000-

TOTAL BID:

\$ 2,765,565.⁰⁰

Shasta Constructors, Inc.

P.O. Box 491539
Redding, CA 96049
Telephone 530/365-3930
Facsimile 530/365-5465
www.shastaconstructors.com



Fax

To: Office Engineer	From: Jan M. Lusso
Co: Caltrans	Pages: 6
Fax: 916-227-6282	Date: 11/9/2011
Phone: 916-654-6410	CC:

☒ **Urgent** ☒ **For Review** ☐ **Please Comment** ☐ **Please Reply** ☐ **Please Recycle**

Re: Contract No. 02-2C2224 Burney Creek Bridge

• **Comments:** Attached please find a copy of our Subcontractor List, DES-OE-0102.2 (REV 3/2011) for the above noted project. Also included are copies of the SSPC QP certifications required for cleaning and painting in the special provisions. This information is submitted in accordance with section 2-1.03 SSPC QP Certification Preaward Qualification.

Please feel free to contact me if you have any questions or require additional information. I may be reached at (530) 365-3930, extension 27 or lusso@shastaconstructors.com

Thank you,

SHASTA CONSTRUCTORS, INC.

Jan M. Lusso

Estimator

STATE OF CALIFORNIA • DEPARTMENT OF TRANSPORTATION
SUBCONTRACTOR LIST
DES-OE-0102.2 (REV 3/2011)

Shasta Constructors, Inc.
P.O. Box 491539
Bidder Name: Redding, CA 96049-1539

The bidder must identify each subcontractor performing work in an amount in excess of 1/2 of 1 percent of the total bid or \$10,000, whichever is greater (Pub Cont Code § 4100 et seq.). Complete columns 1 and 4 and submit with the bid. Complete columns 2 and 3 and submit with the bid or fax to (916) 227-6282 within 24 hours after the bid opening. Failure to provide complete information in columns 1 through 4 within the time specified will result in a nonresponsive bid.

Column 1: Business Name and Location	Column 2: Bid Item Nos.	Column 3: Percentage of Bid Item Subcontracted	Column 4: Description of Subcontracted Work
APPLY A LINE ANDERSON, CA	16-18, 22-23 33, 55, 60, 61	100%	STRIPING
CAMBLIN STEEL SACRAMENTO, CA	55 59	100% 13%	REBAR
STATEWIDE SAFETY FAIRFIELD, CA	13, 15, 21, 26	100%	CONST. AREA SIGNS CRASH CUSHIONS
W. C. MALONEY STOCKTON, CA	30	100%	BRIDGE REMOVAL
M+S ENVIRONMENTAL REDDING, CA	8, 37	100%	EROSION CONTROL
HAT CREEK CONST. BURNLEY, CA	27, 39, 40	100%	COLD PLANE A/C ASPHALT CONCRETE
JEFFCO PAINTING VALLEJO, CA	54	43%	CLEAN AND PAINT STEEL CASING
HILLSIDE DRILLING PT. RICHMOND, CA	41 42 43 54	100% 85% 85% 57%	CIDH CONCRETE PILING CIDH ROCK SOCKET CLEAN AND PAINT CASING
FIE CON ANTIOCH, CA	47 48	100% 20%	FURNISH + ERECT PRECAST DECK SLABS
DSI LONG BEACH, CA	48	15%	PRESTRESSING PG/PS CONCRETE DECK SLABS
AMERICAN CIVIL CONSTRUCTORS BENICIA, CA	29, 49, 50, 51, 52	100%	PREPARE CONC DECK FURNISH + PLACE POLYESTER CONCRETE



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SHASTA CONSTRUCTORS, INC.

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GENERAL ENGINEERING CONTRACTORS

P.O. BOX 491539
530/365-3930

REDDING, CA 96049
FAX 530/365-5465

November 9, 2011

Caltrans – Office Engineer
1727 30th Street MS 43
Sacramento, CA 95816-7005

Attention: Mrs. Nancy Dayak

*****Delivered via FED EX for 11/10/2011 A.M. Delivery*****

Re: California Department of Transportation
02-Sha-299-74.8 Contract No. 02-2C2224
Replace Bridge with PC/PS Concrete Slabs
Bid Date: November 8, 2011 at 2:00 p.m.

Dear Mrs. Dayak,

In accordance with Section 2-1.03 SSPC QP Certification Preaward Qualification of the project Special Provisions, enclosed please find copies of our SSPC QP certification as required for the cleaning and painting for the above noted project.

If you have any questions or require additional information please feel free to contact me at (530) 365-3930, extension 27.

Very truly yours,

SHASTA CONSTRUCTORS, INC.

Jan M. Lusso
estimator

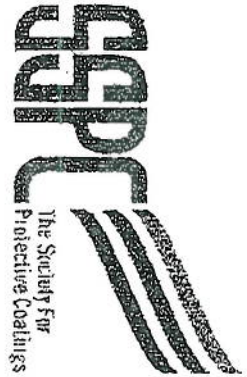
pc: bid file

An Equal Opportunity Employer



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Jeffco Painting & Coating, Inc.

of

Vallejo, CA

has met or exceeded the requirements set forth in the
SSPC Painting Contractor Certification Program for

**INDUSTRIAL HAZARDOUS
PAINT REMOVAL
SSPC-QP2**

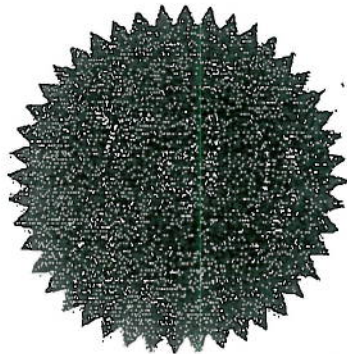
"A"

Category

March 31, 2011 – March 31, 2012

Validation Period

President, SSPC



Changes are advised to contact SSPC, at 412-281-2331 ext. 2235 or ext. 2300 to verify authenticity of certification.



Jeffco Painting & Coating, Inc.
of
Vallejo, CA

has met or exceeded the requirements set forth in the
SSPC Painting Contractor Certification Program for

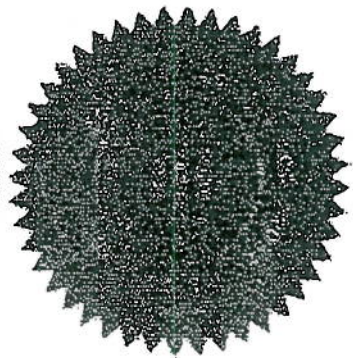
**FIELD APPLICATION OF COATINGS
COMPLEX STRUCTURES
SSPC-QP1**

Glenn Brown
President, SSPC

March 31, 2011 – March 31, 2012

Validation Period

Oversees are advised to contact SSPC at 412-281-2334 ext. 2335 or ext. 2209 to verify authenticity of certification.



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11 NOV 10 AM 11:33



Abrasive Blasting & Coating, Inc.

of
Vallejo, CA

has met or exceeded the requirements set forth in the
SSPC Painting Contractor Certification Program for

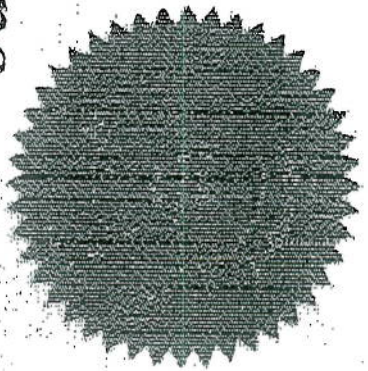
APPLICATION OF
PROTECTIVE COATINGS
SSPC-QP 3
Enclosed Shop

President, SSPC

March 31, 2011 – March 31, 2012

Validation Period

Owners are advised to contact SSPC at 412-281-2331 ext. 2235 or ext. 2209 to verify authenticity of certification.





of
R & B Protective Coatings, Inc.

Linden, CA

has met or exceeded the requirements set forth in the
SSPC Painting Contractor Certification Program for

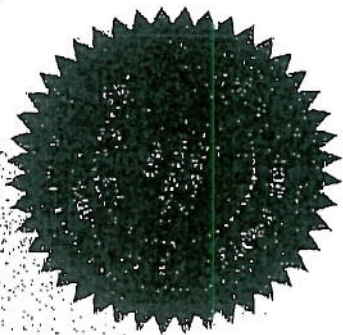
APPLICATION OF
PROTECTIVE COATINGS
SSPC-QP 3

Enclosed Shop

James Brown
President, SSPC

March 31, 2011 - March 31, 2012
Validation Period

Overlappers are advised to contact SSPC at 412-281-2331 ext. 2235 or ext. 2209 to verify authenticity of certification.



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SUBCONTRACTOR LIST

DES-OE-0102.2 (REV 3/2011)

Shasta Constructors, Inc.

P.O. Box 491539

Bidder Name: Redding, CA 96049-1539

The bidder must identify each subcontractor performing work in an amount in excess of 1/2 of 1 percent of the total bid or \$10,000, whichever is greater (Pub Cont Code § 4100 et seq.). Complete columns 1 and 4 and submit with the bid. Complete columns 2 and 3 and submit with the bid or fax to (916) 227-6282 within 24 hours after the bid opening. Failure to provide complete information in columns 1 through 4 within the time specified will result in a nonresponsive bid.

**Column 1: Business
Name and Location**

**Column 2: Bid
Item Nos.**

**Column 3: Percentage
of Bid Item Subcontracted**

**Column 4: Description of
Subcontracted Work**

Apply-A-LINE
Anderson, CA

Camblin Steel
Sacramento, CA

Statewide Safety
Redding, CA/
Fairfield, CA

W.C. Maloney
Stockton, CA

Mds Environmental
Redding, CA

Hat Creek Construction
Burney, CA

Jeffco Painting
Vallejo, CA

Hillside Drilling Inc.
Pt. Richmond, CA

Kiecon
Antioch, CA

DSI
Long Beach, CA

Striping

Rebar

Construction Area Signs

Bridge Removal

Erosion Control

Asphalt Concrete Cold
Plane

Clean Paint Steel
Casing

CIDH Piling

Furnish & Erect PC Slabs

Prestressing

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American Civil Constructors
Benecia, CA

Contract No. 02-2C2224

7

Furnish & Place Polyester
Concrete

na

OPT OUT OF PAYMENT ADJUSTMENTS FOR PRICE INDEX FLUCTUATIONS

DES-OE-0102.12 (NEW 12/2009)

You may opt out of the payment adjustments for price index fluctuations as specified in "Payment Adjustments for Price Index Fluctuations" of the special provisions. If you elect to opt out of the provisions of this specification, complete this form and submit it with your bid.

Shasta Constructors, Inc.

P.O. Box 491539
Redding, CA 96049-1539

Bidder Name: _____

CONTRACT NO. 02-2C2224

☒ I opt out of the payment adjustments for price index fluctuations.

Date: 11/2/12

Signature: _____



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SMALL BUSINESS STATUS

DES-OE-0102.4 (REV 3/2008)

CONTRACT NO. 02-2C2224

Are you certified as a "Small Business" by the Office of Small Business and DVBE Services of the Department of General Services of the State of California? Check one:

☐ Yes: Certification number? _____

☒ No

Note: This small business questionnaire is included for statistical reporting only.

STATE OF CALIFORNIA • DEPARTMENT OF TRANSPORTATION
CALTRANS BIDDER - UDBE - COMMITMENT
 DES-OE-0102.10 (REV 07/2009)

This information may be submitted with your bid proposal. If it is not, and you are the apparent low bidder or the second or third low bidder, it must be submitted and received as specified in Section 2 of the Special Provisions. Failure to submit the required UDBE information will be grounds for finding the proposal nonresponsive.

CONTRACT NO:

BID AMOUNT:

\$

BID OPENING DATE:

BIDDER'S NAME:

UDBE GOAL FROM CONTRACT, %:

UDBE PRIME CONTRACTOR CERTIFICATION¹:

CONTRACT ITEM NO.	ITEM OF WORK AND DESCRIPTION OF SERVICES TO BE SUBCONTRACTED OR MATERIALS TO BE PROVIDED ²	FOR CALTRANS USE ONLY	NAME OF UDBEs (Must be certified on the date bids are opened - include Caltrans certification #, UDBE address and phone number) (Indicate 2nd and lower tier subcontractors)	DOLLAR AMOUNT UDBE ³

IMPORTANT: Identify all UDBE firms being claimed for credit, regardless of tier. Names of the First Tier UDBE Subcontractors and their respective items(s) of work listed above must be consistent, where applicable, with the names and items of work in the "List of subcontractors" submitted with your bid. Copies of the UDBE quotes are required.

- UDBE prime contractors must enter their certification number and indicate all work to be performed by UDBEs including work performed by its own forces.
- If 100% of item is not to be performed or furnished by UDBE, describe exact portion of item to be performed or furnished.
- See Section titled "Disadvantaged Business Enterprises" under Section 2 "Bidding" of the special provisions to determine the credit allowed for UDBE firms.

Submit to:

MSC 43
 OFFICE ENGINEER
 DEPARTMENT OF TRANSPORTATION
 1727 30TH STREET
 SACRAMENTO, CA 95816-7005

Total Claimed
Participation

\$

%

Signature of Bidder

Date

(Area Code) Tel. No.

Person to Contact

(Please Type or Print)

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DES-OE-0102.11 (REV. 3/2011)

[illegible]

GOOD FAITH EFFORTS DOCUMENTATION

DES-OE-0102.11 (REV. 3/2011)

2. List the names of certified UDBEs and the dates on which they were solicited to bid on this project. Include the items of work offered and the dates and methods used for following up initial solicitations to determine with certainty whether the UDBEs were interested. Attach copies of solicitations, telephone records, fax confirmations, etc.

Name of UDBEs Solicited	Date of Initial Solicitation	Item(s) of Work	Follow Up Methods and Dates

3. For each item of work made available, list the selected firm and its status as a UDBE, the UDBEs that provided quotes, the price quote for each firm, and the price difference for each UDBE if the selected firm is not a UDBE.

Item(s) of Work	Name of Selected Firm	UDBE or non-UDBE	Name of Rejected Firm	Quote (\$)	Price Difference (\$)

If the firm selected for the item is not a UDBE, provide the reasons for the selection on a separate sheet and attach names, addresses, and phone numbers for the firms listed above.

GOOD FAITH EFFORTS DOCUMENTATION

DES-OE-0102.11 (REV. 3/2011)

4. List the names and dates of each publication in which a request for UDBE participation for this project was placed by the bidder. Attach copies of published advertisements or proofs of publication:

Publications	Dates of Advertisement

5. List the names of agencies and the dates on which they were contacted to provide assistance in contacting, recruiting and using UDBE firms. If the agencies were contacted in writing, provide copies of supporting documents.

Name of Agency	Date of Contact	Method of Contact	Results

6. List efforts made to provide interested UDBEs with adequate information about the plans, specifications, and requirements of the contract to assist them in responding to a solicitation. Identify the UDBE assisted, the information provided, and the date of contact. Provide copies of supporting documents.

7. List efforts made to assist interested UDBEs in obtaining bonding, lines of credit, insurance, necessary equipment, supplies, materials, or related assistance or services, excluding supplies and equipment the UDBE subcontractor purchases or leases from the prime contractor or its affiliate. Identify the UDBE assisted, the assistance offered, and the date. Provide copies of supporting documents.

8. Include additional data to support a demonstration of good faith efforts.

NOTE: USE ADDITIONAL SHEETS OF PAPER IF NECESSARY.

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CERTIFICATIONS

FEDERAL-AID PROJECTS DISCLOSURE OF LOBBYING ACTIVITIES CERTIFICATION

Bidder certifies, to the best of his or her knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form LLL, "Disclosure of Lobbying Activities," in conformance with its instructions.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by 31 USC § 1352. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Bidder also agrees by submitting a bid that it must require the language of this certification be included in subcontracts over \$100,000 and these subcontractors shall certify and disclose.

**INSTRUCTIONS FOR COMPLETION OF SF-LLL,
DISCLOSURE OF LOBBYING ACTIVITIES**

This disclosure form shall be completed by the reporting entity, whether subawardee or prime Federal recipient, at the initiation or receipt of a covered Federal action, or a material change to a previous filing, pursuant to title 31 U.S.C. section 1352. The filing of a form is required for each payment or agreement to make payment to any lobbying entity for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with a covered Federal action. Complete all items that apply for both the initial filing and material change report. Refer to the implementing guidance published by the Office of Management and Budget for additional information.

1. Identify the type of covered Federal action for which lobbying activity is and/or has been secured to influence, the outcome of a covered Federal action.
2. Identify the status of the covered Federal action.
3. Identify the appropriate classification of this report. If this is a followup report caused by a material change to the information previously reported, enter the year and quarter in which the change occurred. Enter the date of the last, previously submitted report by this reporting entity for this covered Federal action.
4. Enter the full name, address, city, State and zip code of the reporting entity. Include Congressional District if known. Check the appropriate classification of the reporting entity that designates if it is, or expects to be, a prime or subaward recipient. Identify the tier of the subawardee, e.g., the first subawardee of the prime is the 1st tier. Subawards include but are not limited to subcontracts, subgrants and contract awards under grants.
5. If the organization filing the report in item 4 checks "Subawardee," then enter the full name, address, city, State and zip code of the prime Federal recipient. Include Congressional District, if known.
6. Enter the name of the Federal agency making the award or loan commitment. Include at least one organizational level below agency name, if known. For example, Department of Transportation, United States Coast Guard.
7. Enter the Federal program name or description for the covered Federal action (item 1). If known, enter the full Catalog of Federal Domestic Assistance (CFDA) number for grants, cooperative agreements, loans, and loan commitments.
8. Enter the most appropriate Federal identifying number available for the Federal action identified in item 1 (e.g., Request for Proposal (RFP) number; Invitation for Bid (IFB) number; grant announcement number; the contract, grant, or loan award number; the application/proposal control number assigned by the Federal agency). Include prefixes, e.g., "RFP-DE-90-001."
9. For a covered Federal action where there has been an award or loan commitment by the Federal agency, enter the Federal amount of the award/loan commitments for the prime entity identified in item 4 or 5.
10. (a) Enter the full name, address, city, State and zip code of the lobbying registrant under the Lobbying Disclosure Act of 1995 engaged by the reporting entity identified in item 4 to influence the covered Federal action.
(b) Enter the full names of the individual(s) performing services and include full address if different from 10(a). Enter Last Name, First Name, and Middle Initial (MI).
11. The certifying official shall sign and date the form, print his/her name title, and telephone number.

According to the Paperwork Reduction Act, as amended, no persons are required to respond to a collection of information unless it displays a valid OMB Control Number. The valid OMB control number for this information collection is OMB No. 0348-0046. Public reporting burden for this collection of information is estimated to average 10 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0046), Washington, DC 20503.

DISCLOSURE OF LOBBYING ACTIVITIES

Approved by OMB

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352

0348-0046

1. Type of Federal Action: <input type="checkbox"/> a. contract b. grant c. cooperative agreement d. loan e. loan guarantee f. loan insurance		2. Status of Federal Action: <input type="checkbox"/> a. bid/offer/application b. initial award c. post-award		3. Report Type: <input type="checkbox"/> a. initial b. material change For Material Change Only: year _____ quarter _____ date of last report _____	
4. Name and Address of Reporting Entity: <input type="checkbox"/> Prime <input type="checkbox"/> Subawardee Tier _____, if known Congressional District, if known:			5. If Reporting Entity in No. 4 is Subawardee Enter Name and Address of Prime: Congressional District, if known:		
6. Federal Department/Agency:			7. Federal Program Name/Description: CFDA Number, if applicable _____		
8. Federal Action Number, if known:			9. Award Amount, if known: \$ _____		
10 a. Name and Address of Lobby Registrant (if individual, last name, first name, MI):			b. Individuals Performing Services (including address if different from No. 10a) (last name, first name, MI):		
11. Information requested through this form is authorized by Title 31 U.S.C. Section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when this transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.			Signature: _____ Print Name: _____ Title: _____ Telephone No.: _____ Date: 11/7/11		
Federal Use Only:				Authorized for Local Reproduction Standard Form - LLL (Rev. 7-97)	

EQUAL EMPLOYMENT OPPORTUNITY REGULATION CERTIFICATION

Bidder ✓, proposed subcontractor _____, certifies that he ☒ has ☐ has not participated in a previous contract or subcontract subject to the equal opportunity clauses, as required by Executive Orders 10925, 11114, or 11246, and that, if required, he has filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance, a Federal Government contracting or administering agency, or the former President's Committee on Equal Employment Opportunity, all reports due under the filing requirements.

Notes:

- The above certification is required by the Equal Employment Opportunity Regulations of the Secretary of Labor (41 CFR 60-1.7(b) (1)), and must be submitted by bidders and proposed subcontractors only in connection with contracts and subcontracts which are subject to the equal opportunity clause. Contracts and subcontracts which are exempt from the equal opportunity clause are set forth in 41 CFR 60-1.5. (Generally only contracts or subcontracts of \$10,000 or under are exempt.)
- Currently, Standard Form 100 (EEO-1) is the only report required by the Executive Orders or their implementing regulations.
- Prime contractors and subcontractors who have participated in a previous contract or subcontract subject to the Executive Orders and have not filed the required reports should note 41 CFR 60-1.7(b) (1) prevents the award of contracts and subcontracts unless the Contractor submits a report covering the delinquent period or such other period specified by the Federal Highway Administration or by the Director, Office of Federal Contract Compliance, U.S. Department of Labor.

TITLE 49, CODE OF FEDERAL REGULATIONS, PART 29, DEBARMENT AND SUSPENSION CERTIFICATION

Bidder, under penalty of perjury, certifies that, except as noted below, it or any other person associated therewith in the capacity of owner, partner, director, officer, manager:

- is not currently under suspension, debarment, voluntary exclusion, or determination of ineligibility by any federal agency;
- has not been suspended, debarred, voluntarily excluded or determined ineligible by any federal agency within the past 3 years;
- does not have a proposed debarment pending; and
- has not been indicted, convicted, or had a civil judgement rendered against it by a court of competent jurisdiction in any matter involving fraud or official misconduct within the past 3 years.

If there are any exceptions to this certification, insert the exceptions in the following space.

Exceptions will not necessarily result in denial of award, but will be considered in determining bidder responsibility. For any exception noted above, indicate below to whom it applies, initiating agency, and dates of action.

UNDOCUMENTED ALIENS EMPLOYMENT

Under Pub Cont Code § 6101, the Bidder certifies compliance with state and federal law respecting the employment of undocumented aliens.

NONCOLLUSION

NONCOLLUSION AFFIDAVIT TO BE EXECUTED BY BIDDER AND SUBMITTED WITH BID

Under PCC 7106 and 23 USC 112, the bidder declares as follows:

State of California County of Shasta

Robert Roseman being first duly sworn, deposes and says that he or she is of Shasta Constructors Inc the party making the foregoing bid that the bid is not made in the interest of, or on behalf of, any undisclosed person, partnership, company, association, organization, or corporation; that the bid is genuine and not collusive or sham; that the bidder has not directly or indirectly induced or solicited any other bidder to put in a false or sham bid, and has not directly or indirectly colluded, conspired, connived, or agreed with any bidder or anyone else to put in a sham bid, or that anyone shall refrain from bidding; that the bidder has not in any manner, directly or indirectly, sought by agreement, communication, or conference with anyone to fix the bid price of the bidder or any other bidder, or to fix any overhead, profit, or cost element of the bid price, or of that of any other bidder, or to secure any advantage against the public body awarding the contract of anyone interested in the proposed contract; that all statements contained in the bid are true; and, further, that the bidder has not, directly or indirectly, submitted his or her bid price or any breakdown thereof, or the contents thereof, or divulged information or data relative thereto, or paid, and will not pay, any fee to any corporation, partnership, company, association, organization, bid depository, or to any member or agent thereof to effectuate a collusive or sham bid.

CHILD SUPPORT COMPLIANCE ACT

Under Pub Cont Code § 7110, the contractor acknowledges that:

1. The contractor recognizes the importance of child and family support obligations and shall fully comply with all applicable state and federal laws relating to child and family support enforcement, including, but not limited to, disclosure of information and compliance with earnings assignment orders, as provided in Chapter 8 (commencing with section 5200) of Part 5 of Division 9 of the Family Code; and
2. The contractor to the best of its knowledge is fully complying with the earnings assignment orders of all employees and is providing the names of all new employees to the New Hire Registry maintained by the Employment Development Department.

VIOLATION OF LAW OR A SAFETY REGULATION

Under Pub Cont Code § 10162, the Bidder must complete, under penalty of perjury, the following questionnaire:

Has the Bidder, any officer of the Bidder, or any employee of the Bidder who has a proprietary interest in the Bidder, ever been disqualified, removed, or otherwise prevented from bidding on, or completing a federal, state, or local government project because of a violation of law or a safety regulation?

☐ Yes

☒ No

If the answer is yes, explain the circumstances in the following space.

NATIONAL LABOR RELATIONS BOARD

Under Pub Cont Code § 10232, the contractor, swears under penalty of perjury, that no more than one final unappealable finding of contempt of court by a federal court has been issued against the contractor within the immediately preceding two year period because of the contractor's failure to comply with an order of a federal court which orders the contractor to comply with an order of the National Labor Relations Board.

ANTITRUST LAW

Under Pub Con Code § 10285.1, the Bidder declares under penalty of perjury under the laws of the State of California that the Bidder ☐ has ☒ has not been convicted within the preceding three years of any offenses referred to in that section, including any charge of fraud, bribery, collusion, conspiracy, or any other act in violation of any state or federal antitrust law in connection with the bidding upon, award of, or performance of, any public works contract, as defined in Pub Cont Code § 1101, with any public entity, as defined in Pub Cont Code § 1100, including the Regents of the University of California or the Trustees of the California State University. The term "Bidder" includes any partner, member, officer, director, responsible managing officer, or responsible managing employee thereof, as referred to in Section 10285.1.

If the Bidder has been convicted of an offense within the past 3 years, provide the conviction details including the date and ultimate resolution of each conviction in the space below.

BIDDER RESPONSIBILITY QUESTIONNAIRE

Failure to truthfully answer the following questions will result in a finding that the bid is nonresponsive. The Bidder must complete, under penalty of perjury, the following questionnaire:

1. Within the past 10 years, has the Bidder been found to be a nonresponsive bidder by any public entity, including federal, State, local, or regional entities?
☐ Yes ☒ No
2. Within the past 10 years, have any of the Bidder's officers or employees with a proprietary interest in the Bidder been determined to be a nonresponsive bidder by a public entity, including federal, State, local or regional entities?
☐ Yes ☒ No
3. Is there any officer or employee of the Bidder who now has or has had any proprietary interest in another company that bid or bids on public works projects whose company has been determined to be a nonresponsive bidder by any public entity, including federal, State, local, or regional entities?
☐ Yes ☒ No
4. If the answer to any of the 3 preceding questions is yes, disclose all pertinent details of the determination of nonresponsibility, including:
 - 4.1. Date of each nonresponsibility determination
 - 4.2. Name of each public agency issuing the nonresponsibility determination and a contact person at that agency who would have information about the determination
 - 4.3. Contract number for each nonresponsibility determination

END CERTIFICATIONS

SSPC QP CERTIFICATION PREAWARD QUALIFICATION

Submit the SSPC QP certification required for cleaning and painting in these special provisions. Failure to submit the certification will result in a nonresponsive bid.

We SHASTA CONSTRUCTORS, INC.

4150 Fig Tree Lane

Redding, CA 96002-9315

as Principal, and

FIDELITY AND DEPOSIT COMPANY OF MARYLAND

as Surety are bound unto the State of California, Department of Transportation, hereafter referred to as "Obligee", in the penal sum of ten percent (10%) of the total amount of the bid of the Principal submitted to the Obligee for the work described below, for the payment of which sum we bind ourselves, jointly and severally,

THE CONDITION OF THIS OBLIGATION IS SUCH, THAT:

WHEREAS, the Principal is submitting a bid to the Obligee, for Construction on State Highway in Shasta
(Copy here the exact description of work, including location, as it appears on the proposal)
County in Burney at Burney Creek Bridge
for which bids are to be opened at 1727 30th Street, Bidders' Exchange, MS 26
(Insert place where bids will be opened)
Sacramento, CA 95816 on November 8, 2011
(Insert date of bid opening)

NOW, THEREFORE, if the Principal is awarded the contract and, within the time and manner required under the specifications, after the prescribed forms are presented to him for signature, enters into a written contract, in the prescribed form, in accordance with the bid, and files two bonds with the Obligee, one to guarantee faithful performance (if specified in the contract) of the contract and the other to guarantee payment for labor and materials as provided by law, then this obligation shall be null and void; otherwise, it shall remain in full force.

In the event a suit is brought upon this bond by the Obligee and judgment is recovered, the Surety shall pay all costs incurred by the Obligee in such suit, including a reasonable attorney's fee to be fixed by the court.

Dated: October 31, 2011.

Correspondence or claims relating to this bond should be sent to the surety at the following address: FIDELITY AND DEPOSIT COMPANY OF MARYLAND
1400 American Lane
Schaumburg, IL 60196-1056

SHASTA CONSTRUCTORS, INC.
Principal

FIDELITY AND DEPOSIT COMPANY OF MARYLAND
Surety

By Julia Grimes
Attorney-in-Fact

CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

State of California }

County of SANTA CLARA

On this October 31, 2011 before me, Jeanette Conley, a Notary Public

Date

Here Insert Name and Title of the Officer

personally appeared Julia Grimes

Name(s) of Signor(s)

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

(SEAL)

Signature

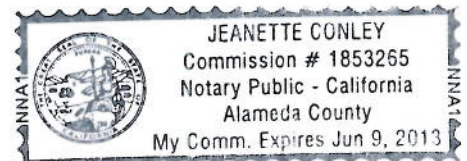
Jeanette Conley
Jeanette Conley, Signature of Notary Public

ADA Notice

For individuals with sensory disabilities, this document is available in alternate formats. For information call (916) 654-6410 or TDD (916) 654-3880 or write Records and Forms Management, 1120 N Street, MS-89, Sacramento, CA 95814.

Contract No. 02-2C2224

8



Power of Attorney
FIDELITY AND DEPOSIT COMPANY OF MARYLAND

KNOW ALL MEN BY THESE PRESENTS: That the FIDELITY AND DEPOSIT COMPANY OF MARYLAND, a corporation of the State of Maryland, by THEODORE G. MARTINEZ, Vice President, and ERIC D. BARNES, Assistant Secretary, in pursuance of authority granted by Article VI, Section 2, of the By-Laws of said Company, which are set forth on the reverse side hereof and are hereby certified to be in full force and effect on the date hereof, does hereby nominate, constitute and appoint **James W. UNTIEDT, Geoffrey R. GREEN, Michael E. SHEAHAN, Matthew KELLY, Julia GRIMES and Jeanette CONLEY, all of San Jose, California, EACH** its true and lawful agent and Attorney-in-Fact, to make, execute, seal and deliver, for, and on its behalf as surety, and as its act and deed, **any and all bonds and undertakings**, and the execution of such bonds or undertakings in pursuance of these presents, shall be as binding upon said Company, as fully and amply, to all intents and purposes, as if they had been duly executed and acknowledged by the regularly elected officers of the Company at its office in Baltimore, Md., in their own proper persons. This power of attorney revokes that issued on behalf of James W. UNTIEDT, Geoffrey R. GREEN, Michael E. SHEAHAN, Matthew KELLY, Julia GRIMES, Jeanette SANTANA, dated July 17, 2009.

The said Assistant Secretary does hereby certify that the extract set forth on the reverse side hereof is a true copy of Article VI, Section 2, of the By-Laws of said Company, and is now in force.

IN WITNESS WHEREOF, the said Vice-President and Assistant Secretary have hereunto subscribed their names and affixed the Corporate Seal of the said FIDELITY AND DEPOSIT COMPANY OF MARYLAND, this 7th day of December, A.D. 2009.

ATTEST:

FIDELITY AND DEPOSIT COMPANY OF MARYLAND



Eric D. Barnes

Eric D. Barnes

Assistant Secretary

By:

Theodore G. Martinez

Theodore G. Martinez

State of Maryland }
City of Baltimore } ss:

On this 7th day of December, A.D. 2009, before the subscriber, a Notary Public of the State of Maryland, duly commissioned and qualified, came THEODORE G. MARTINEZ, Vice President, and ERIC D. BARNES, Assistant Secretary of the FIDELITY AND DEPOSIT COMPANY OF MARYLAND, to me personally known to be the individuals and officers described in and who executed the preceding instrument, and they each acknowledged the execution of the same, and being by me duly sworn, severally and each for himself depose and saith, that they are the said officers of the Company aforesaid, and that the seal affixed to the preceding instrument is the Corporate Seal of said Company, and that the said Corporate Seal and their signatures as such officers were duly affixed and subscribed to the said instrument by the authority and direction of the said Corporation.

IN TESTIMONY WHEREOF, I have hereunto set my hand and affixed my Official Seal the day and year first above written.



Constance A. Dunn

Constance A. Dunn

Notary Public

My Commission Expires: July 14, 2015

EXTRACT FROM BY-LAWS OF FIDELITY AND DEPOSIT COMPANY OF MARYLAND

"Article VI, Section 2. The Chairman of the Board, or the President, or any Executive Vice-President, or any of the Senior Vice-Presidents or Vice-Presidents specially authorized so to do by the Board of Directors or by the Executive Committee, shall have power, by and with the concurrence of the Secretary or any one of the Assistant Secretaries, to appoint Resident Vice-Presidents, Assistant Vice-Presidents and Attorneys-in-Fact as the business of the Company may require, or to authorize any person or persons to execute on behalf of the Company any bonds, undertakings, recognizances, stipulations, policies, contracts, agreements, deeds, and releases and assignments of judgements, decrees, mortgages and instruments in the nature of mortgages,...and to affix the seal of the Company thereto."

CERTIFICATE


I, the undersigned, Assistant Secretary of the FIDELITY AND DEPOSIT COMPANY OF MARYLAND, do hereby certify that the foregoing Power of Attorney is still in full force and effect on the date of this certificate; and I do further certify that the Vice-President who executed the said Power of Attorney was one of the additional Vice-Presidents specially authorized by the Board of Directors to appoint any Attorney-in-Fact as provided in Article VI, Section 2, of the By-Laws of the FIDELITY AND DEPOSIT COMPANY OF MARYLAND.

This Power of Attorney and Certificate may be signed by facsimile under and by authority of the following resolution of the Board of Directors of the FIDELITY AND DEPOSIT COMPANY OF MARYLAND at a meeting duly called and held on the 10th day of May, 1990.

RESOLVED: "That the facsimile or mechanically reproduced seal of the company and facsimile or mechanically reproduced signature of any Vice-President, Secretary, or Assistant Secretary of the Company, whether made heretofore or hereafter, wherever appearing upon a certified copy of any power of attorney issued by the Company, shall be valid and binding upon the Company with the same force and effect as though manually affixed."

IN TESTIMONY WHEREOF, I have hereunto subscribed my name and affixed the corporate seal of the said Company,

this 31st day of October, 2011.



Assistant Secretary